

APEX SECURITIES BERHAD [197901003400(47680-X)]

(Formerly known as JF Apex Securities Berhad)

Application for Collateralized Trading Account (CTA)

Only applicable to Normal Trading Account

APPLICANT'S PAR	RTICULARS	
Kajang (HQ)	Branch:	Kiosk:
•	ormal Trading):s per registration document):	Date Request:
NRIC No.: (New)		NRIC (Old)/ Passport No.:
Facility Limit Request	ted (RM):	Effective Date:

AUTHORIZATION TO SET OFF, LIEN OVER SECURITIES AND INDEMNITY FOR COLLATERALIZED TRADING ACCOUNT (CTA)

IN CONSIDERATION of Apex Securities Berhad ("ASB");

- (A) agreeing, granting or making available or continuing to grant or make available to me/us a trading facility in the form of trading limits in my/our trading account at my/our request and for my/our benefit, such trading limits determined at your absolute discretion and in no way creating a contractual obligation on your part to me/us; and
- (B) from time to time placing out for and on behalf of me/us the amount in my/our Collateralized Trading Account ("CTA") and any other account(s), including foreign currencies or securities traded on other exchanges, that are maintained with ASB ("the Credit Balance"), in any money instruments with a licensed financial institution of your choice to earn interest rate as you may obtain from such licensed institution from time to time.

I/WE¹ HEREBY UNDERTAKE AND COVENANT with you and for the benefit of your successors-in-title and assigns and persons deriving title under you as follows:-

1) I/We have deposited and/or hereby deposit or will cause immediately or from time to time cause to be deposited with you into my/our CDS account, ordinary shares or other instruments/securities in any public listed companies whether local or foreign ("Securities") and I/WE HEREBY AGREE that you shall have a lien on the said Securities as security for the payment and satisfaction to you by me/us¹ of all my/our obligations and liabilities to you under all accounts and facilities with you and you may apply the same in set-off or settlement thereof forthwith upon demand by you for the repayment of any of those obligations and liabilities.

I/We consent to the disclosure by Bursa Malaysia Depository Sdn Bhd ("Bursa Depository") to ASB, and to such agents, service providers and sub-contractors of ASB as informed by ASB to Bursa Depository, of information or documents relating to my/our affairs and in particular, relating to my/our¹ securities account. This consent shall be valid until revoked by me/us. I/We release Bursa Depository from any loss or liability arising from or in connection with this authorization except for loss or liability I/we may suffer as a result of any act, statement or omission that was not done in good faith by Bursa Depository.

- 2) I/We hereby warrant and represent to you as follows:-
 - (i) That I am/We are the legal and beneficial owner(s) of the Securities and no other person, firm, company, corporation or other body corporate has any claim, right, title or interest whatsoever legal or equitable in and to the Securities:
 - (ii) That other than the security created herein, there is no mortgage, pledge, lien, charge, assignment, hypothecation or other security interest or encumbrances of any kind upon the Securities or any of them and I/We have no knowledge of any fact which would or might invalidate the lien on the Securities pursuant to this Letter, and
 - (iii) That this Authorization constitutes legal, valid and binding obligations on me/us and my/our heirs and personal representatives or successors in title on accordance with the terms hereof.
- 3) As the legal and beneficial owner of the Securities, I/We agree and do hereby give you all my rights title and interests in and to the Securities and as a continuing security for all and any sum of money owing to you and for the performance of my/our obligations and the discharge of my/our contingent liabilities under all accounts and facilities with you.
- 4) I/We agree that you may, at any time without notice, in making a demand, notwithstanding any settlement of account or other matter whatsoever, combine or consolidate all or any than existing accounts including accounts in the name of your nominee, (whether in trust or pledged for me/us) and set off or transfer any sum standing to the credit of any one or more such accounts towards satisfaction of any obligations or liabilities to ASB whether the liabilities are present, future, actual, contingent primary or collateral several or joint.
- 5) Until the ultimate balance by me/us has been paid or satisfied in full or so long as any liability and/or obligation remains outstanding by me/us to you whatsoever or howsoever, you shall have a lien on all the Securities in my CDS account whether the Securities are held in

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your custody or otherwise and you shall be entitled to retain the Securities deposited by me/us now and/or subsequently and/or from time to time and Securities shall be held by you as a continuing security to you for the balance owing, the said liability and/or obligation.

- 6) You are authorized to sell, assign, transfer or otherwise dispose of the Securities without demand for payment or notice or further consent of any person at such times in such manner and generally on any terms and for such consideration as you in your absolute discretion think fit without being under any responsibility to me/us for the price obtained thereby in any of the following events:-
 - (i) on default being made in payment for transactions in securities carried out by you for me/us or payment of any sums from time to time due to you under my trading account(s) or any of them;
 - (ii) on the failure to repay on demand any money obligation or liability due owing or incurred to you by me/us with all interests, charges and expenses (including legal charges or charges of your nominee or agent) in relation to me/us, any of my trading account(s) with you, the Securities or any matter hereunder;

but so that you are under no obligation to sell or otherwise dispose of the Securities and are not liable to me/us for any loss that I/we may sustain as a result of your delay or failure to do so. You are hereby irrevocably authorized to execute and sign any document and to do any such act or thing on my/our behalf.

- 7) I/We agree that you shall have the right to object to a withdrawal of the whole or any part of the Securities. Notwithstanding the aforesaid, you agree that I/we shall be entitled to sell the Securities at any time provided always that the proceeds thereof shall be subject to the lien created by this Letter and you shall be entitled to retain the same EXCEPT we both agree that I am/we are entitled to collect the proceeds thereof upon you being satisfied that the aggregate net value of the remaining Securities, taken at the price and valuation applicable to Securities held as security, is maintained at all times at a sum equal to the moneys and liabilities from time to time hereby secured including any contingent liabilities, I/we agree that I/we shall if required by you, deposit further Securities, should the value of the Securities fall short of the moneys and liabilities hereby secured.
- 8) Set-off Credit Balance against Purchase Contracts
 - a) You are authorized to set-off immediately (i.e. automatic set-off) in accordance with the Bursa Malaysia Securities Bhd's Fixed Delivery & Settlement System ("FDSS"), the Credit Balance or any part thereof:
 - (i) Against any amount(s) due to you for settlement of all purchase contract(s) under my/our securities trading account(s) maintained with you; and/or
 - (ii) Where the counter for which a purchase contract has been executed is suspended from trading by the relevant regulatory body for any reason whatsoever.
 - b) Notwithstanding the provision in Paragraph 8 (a) herein, you may at your absolute discretion immediately set-off in accordance with the FDSS, the Credit Balance or any part thereof, for purpose of settlement of any such purchase contract(s) you deem appropriate without reference to me/us in the event:
 - (i) The Credit Balance is insufficient to settle all my/our purchase contracts executed through you; and
 - (ii) No express instruction is given by me/us or my dealer's representative in respect of any such particular contract(s) that I/we wish to settle, by due date as per FDSS.
 - c) Upon exercising such set-off in paragraph 8 (b) herein, if there is any outstanding amount due to you, you may at your absolute discretion force sell any securities that remain unpaid in accordance with the FDSS.
- 9) Set-off Credit Balance against Contra Losses / Debit Notes
 - a) You are authorized to immediately set-off the Credit Balance or any part thereof, against any outstanding amount under any of my/our account(s) maintained with you (including without limitation contra losses, debit notes and all expenses reasonably incurred by you in compliance with this letter).
 - b) Notwithstanding the provisions in paragraph 9 (a) herein, in the event the Credit Balance is insufficient to the entire such outstanding amount, you may at your absolute discretion, set-off the Credit Balance or any part thereof against any part of the outstanding amount as you deem appropriate without reference to me/us.
- 10) Credit into this trading account for Sales Proceeds / Contra Gains
 - a) To credit into the trading account in the following manner:
 - $(i) \quad \text{In accordance with the FDSS, all proceeds of sales; and} \\$
 - (ii) After 3 trading days for contra gains.
- 11) I/we hereby agree and declare that:
 - (i) I am/we are the beneficial owner; or have been duly authorized by the Board of Directors in the case of a Corporate applicant, to give the foregoing authorization, in respect of my/our account(s) maintained with you;
 - (ii) You may place the Credit Balance in any money market instruments for and on my/our behalf, but in any event only if:
 - a) It is free from any encumbrances (including all commission charges, interest, expenses and fees) arising from securities transaction under my/our account and subject to the clearance of cheque;
 - b) It maintains amount of not less than the Minimum Balance under my account; and
 - c) The Credit Balance is not less than the minimum amount which the relevant institution may require for the purpose of such placement.
 - (iii) Any amount paid into my/our account by way of cheque must be cleared before any placement in money market instruments made.

- (iv) Payment for withdrawal from trust account will be made on the next trading day after receipt of such request from me/us or my/our dealer's representative, subject to the prior clearance of cheque for any payment into such account.
- (v) You are entitled to:
 - a) Deposit any Credit Balance with any licensed financial institution of your choice even if such licensed financial institution does not offer the highest rate of interest at the relevant times; and
 - b) Vary the Minimum Balance herein at your absolute discretion and from time to time by giving notice in writing.
 - c) Deduct the handling fee and expenses as you may deem fit ("the Fees") from the interest earned on any Credit Balance.
 - d) Vary the rate of the Fees or vary the time and manner of payment of the Fees without notice.
- 12) I/We hereby agree to be bound by all the terms and conditions of this letter which shall take effect on the date hereof and that this Authorization shall not derogate any of my obligations to ASB and shall be in addition to any other security held by you.
- 13) Indemnity:

I/We undertake to fully and effectively indemnify ASB and keep ASB and its related companies indemnified and hold harmless from and against and in respect of all liabilities, losses, costs, charges, expenses (including but not limited to legal fees and costs on a full indemnity basis), claims, demands, actions and proceedings whatsoever which may be taken against or incurred or sustained by ASB and/or its related companies directly or indirectly from or by reason of or in relation to or arising from or in connection with the provision of the above facilities and/or services to me/us, the use of the Online Trading facilities or the breach of these terms and conditions on my/our part or my/our Authorized Persons, or any third party rights, including but not limited to any infringement of intellectual property rights and I/we shall pay and reimburse the same to ASB on demand at any time or from time to time.

This	
Signature of Client: (Individual / Corporate) ² (Authorized Signatory/ ies)	Signature of Witness: (Licensed Dealer's Representative, Authorized Personnel or
Name of Client / Company:	Management of ASB or a Commissioner for Oaths/ Notary Public)
NRIC / Passport No / Registration Number:	Name of Witness:
Designation:	NRIC / Passport No.:
Please affix company stamp / seal (for Corporate Application only):	
Note: ¹ The word "we", "our" and "us" under this Authorization refers t ² Delete where not applicable.	to Company (for a corporate Client).
DEALER'S REPRESENTATIVE DECLARATION	
How long have I known the Applicant: Year	Any relationship/ introduced by:
Existing Limit:	New CTA Facility Limit Requested:
Contact to Average: Yes No	Other Information:
	e above trading limit for the Applicant and accept all responsibilities financial or tagement of ASB have the sole-discretion to request for additional supporting
Signed by:	Name:
	Trader Code:
	NRIC No:
Date:	

FOR OFFICE USE ONLY

Credit Management I	Dept.				
Checked Blacklist Files	: Yes No Client A	Annual Income:			
Paid Up Capital:	Shareholder Fund:	Counterparty Limit:			
Checking		Result			
CTOS Info	Summons; No of Summons:	Bankruptcy; No of Bankruptcy:			
Legal Action	Company with winding up petition	Other Legal Action:			
Directorship/ Shareholder	Remarks:				
No Record	Number of Directorships:	Remarks:			
	Number of Shareholder:				
Remisier					
Suspended	Good Trading Record: Yes No Working Capital (RM):				
Not Suspended	Other Remarks:				
	Prohibit Buy: Yes No				
Recommendation for Approval	Limit Based On: Shares Cash % of cash up front				
	Limit/ CTA Facility (RM):				
Vetted by:					
	Remarks:				
	Date:				
Approved by:	Approved by:				
	Limit Approved (RM):				
	Remarks:				
	Date:				
Operations Dept. (after	er approval)				
Date Received:	Initial:				
Data Entry by:	Date:				
Verified by:	Date:				
Effective Date:	Remarks:				
Compliance and Lega	ıl Dept.				
Checked by:					
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